

TRANSMITTAL

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Stylesheet Version v1.1.0

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| Title of Invention | SYSTEM AND METHOD FOR CREATING TRADEABLE FINANCIAL UNITS |
| <p>Application Number :</p> <p>Date :</p> <p>First Named Applicant: Earl Rotman</p> <p>Confirmation Number:</p> <p>Attorney Docket Number: 20107/1200838-US1</p> | |
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| <p>Submitted By:</p> <p>Marie Gilfillan</p> <p>Registered Number: 44,085</p> | <p>Elec. Sign.</p> <p>/mg/</p> |

| Documents being submitted: | Files |
|----------------------------|---|
| application-body | 201071200838US1-usappb.xml application-body.dtd us-application-body.xsl mathml2.dtd soextblx.dtd isoamsa.ent isoamsb.ent isoamsc.ent isoamsn.ent isoamso.ent isoamsr.ent isobox.ent isocyr1.ent isocyr2.ent isodia.ent isogr1.ent isogr2.ent isogr3.ent isogr4.ent isolat1.ent isolat2.ent isomfrk.ent isomopf.ent isomscr.ent isonum.ent isopub.ent isotech.ent mathml2-qname-1.mod mmlalias.ent mmlextra.ent wipo.ent |
| us-power-of-attorney-grant | 201071200838US1-uspoat-O000001.tif |
| us-power-of-attorney-grant | 201071200838US1-uspoat-O000002.tif |
| us-power-of-attorney-grant | 201071200838US1-uspoat-O000003.tif |
| us-power-of-attorney-grant | 201071200838US1-uspoat-O000004.tif |
| us-power-of-attorney-grant | 201071200838US1-uspoat-O000005.tif |
| us-power-of-attorney-grant | 201071200838US1-uspoat-O000006.tif |
| us-power-of-attorney-grant | 201071200838US1-uspoat-O000007.tif |
| us-power-of-attorney-grant | 201071200838US1-uspoat-O000008.tif |
| us-power-of-attorney-grant | 201071200838US1-uspoat-O000009.tif |
| us-power-of-attorney-grant | 201071200838US1-uspoat-O000010.tif |
| us-power-of-attorney-grant | 201071200838US1-uspoat-O000011.tif |
| us-power-of-attorney-grant | 201071200838US1-uspoat-O000012.tif |
| us-request | 201071200838US1-usrequ.xml us-request.dtd us-request.xsl |
| us-assignment | 201071200838US1-usassn.xml us-assignment.dtd us-assignment.xsl |

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| us-fee-sheet | 201071200838US1-usassn-A000001.tif 201071200838US1-usassn-A000002.tif 201071200838US1-usassn-A000003.tif 201071200838US1-usassn-A000004.tif 201071200838US1-usfees.xml us-fee-sheet.dtd us-fee-sheet.xsl |
| Comments IPDAS Version 3.2.1, Validation Version 1.1.1.2, Submission Version 1.1.0.5 | |